EDITORIAL



Preventing Injection Drug use Initiation: State of the Evidence and Opportunities for the Future

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Introduction

Just over 10 years have passed since public health experts called for an update to the "infection risk reduction hierarchy" [1]. At the time Vlahov et al. noted that HIV prevention programs for people who inject drugs (PWID), 13% of whom are estimated to be HIV positive [2], should include referral to addiction treatment, increased access to sterile syringes, and education regarding the harms of syringe sharing, given that all had been proven effective at reducing the spread of injectionrelated HIV transmission [1]. However, while these interventions are critical to reducing the burden of HIV faced by active injectors, they also argued that the most effective method of preventing injection-driven HIV epidemics was to shift resources upstream, towards the prevention of injection drug use itself [1]. Since the

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Dalla Lana School of Public Health, University of Toronto, Toronto, ON, Canada publication of this commentary, expanded coverage of harm reduction services and the provision of sterile injecting equipment have resulted in reductions in HIV transmission among PWID in select settings. However, blood-borne disease epidemics continue to expand among PWID populations; for example, according to UNAIDS, HIV infections among PWID increased by 33% globally between 2011 and 2014 [3]. Concurrently, fatal drug overdose (often related to opioid injecting) has become a leading cause of death in both the USA and Canada [4–6]. We therefore seek to assess the state of the science on the prevention of injection initiation, to identify emerging interventional strategies, and to consider the barriers that remain in effectively controlling ongoing epidemics of injection drug use.

Since this call for an updated framework, major research studies have been undertaken to expand the

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scientific understanding of injection drug use initiation (see Table 1). Indeed, research on this topic has grown from a small handful of peer-reviewed studies to a broad and increasingly comprehensive scientific literature. In short, a range of factors have been identified that appear to increase the risk that individuals will begin to inject drugs.

Risk Factors for Injection Drug Use Initiation

Risk Factors for Injection Initiates

Childhood sexual abuse and trauma [22], the noninjection use of certain drugs (including, importantly, prescription opioids) [13, 23, 24], and behaviors such as polydrug use [25] have all been identified as individuallevel risk factors that increase the risk of injection initiation. Further, the efficiency afforded by injection vs. non-injection of drugs has been identified as a key motivator for transitions into initiation, particularly among individuals experiencing endemic poverty and during periods when the price of illegal drugs increases [26–31].

With respect to structural factors, the availability of housing and housing status (including homelessness)

consistently appears as a risk factor for injection initiation across a range of settings [13, 16, 32]. For example, Roy et al. found that homelessness was the key risk factor for injection initiation among street-involved youth in Montreal. They further posited a causal pathway to injection initiation, as they note that the risk of injection initiation has been shown to be associated with the level of social integration of people who use drugs into society [33, 34], and that a lack of access to housing impacts an individual's capacity for social integration. Similarly, in a study of injection initiation in three Australian settings, Abelson et al. hypothesized that homelessness was a proxy measure for social and family disadvantage, which placed people at higher risk of initiation [35]. Indeed, the fact that much of the observational research on injection initiation is restricted to samples of street youth who experience entrenched and chronic housing instability is evidence of the strong role that housing status is assumed to play in heightening injection initiation risk.

The Unique Role of "Initiators"

As previous research has demonstrated, once an injection-naive person has decided to initiate injection, their request for assistance with their first injection can

 Table 1
 Chronology of selected research developments on preventing injection initiation

Year	Development	Research outcomes
1988–1992	"Heroin sniffer" intervention studies	Casriel et al. [7] Casriel et al. [8] Des Jarlais et al. [9]
1998–1999	"Break the Cycle" intervention studies	Hunt et al. [10] Hunt et al. [11]
2001–2003	Montreal street youth cohort	Roy et al. [12] Roy et al. [13]
2004	Commentary: Updating the infection risk reduction hierarchy	Vlahov et al. [1]
2006	At-Risk Youth Study (ARYS) in Vancouver	Wood et al. [14]
2010	Route transition interventions literature review	Bridge [15]
2011	California PWID cohort to investigate injection initiators	Kral et al. [16] Bluthenthal et al. [17]
2013	Interventions to prevent the initiation of injection drug use: a systematic review	Werb et al. [18]
2014	"Change the Cycle" intervention	Strike et al. [19]
2015	Next steps in research on injection initiation incidence and prevention	Bluthenthal and Kral [20]
2015	US NIDA Avant Garde Award: "Combined prevention to reduce initiation into injecting drug use"	Forthcoming
2015	US NIDA Avenir Award: "Preventing injecting by modifying existing responses"	Werb et al. [21]
2015	US NIDA R01: "Preventing injection initiation: the Change the Cycle randomized controlled trial"	Forthcoming

be persistent [36]. Therefore, preventing transitions into injecting should address both the need to prevent people from seeking out injecting and the need to prevent PWID from initiating others into this behavior. One way to address both of these factors is by reducing exposure to, and positive modeling of, injecting behaviors among injection-naive persons. This is likely needed because the existing empirical literature demonstrates that not only is exposure to injection drug use associated with initiation episodes [13, 24, 28, 36-38] but also because these behaviors are associated with requests for initiation made by injection-naive persons [17]. This suggests that injection drug use can be defined as a behavior influenced by interpersonal, group, and broader social processes (with some experts characterizing it as a socially communicable process [28]). As such, events and approaches that increase population mixing between PWID and non-injection drug-using populations may also increase the incidence of injection initiation. Specifically, studies of people who facilitate initiation suggest that they are generally more experienced with injecting [39] and have been exposed to or interacted intimately with other PWID as a result of homelessness or incarceration [13]. These intimate interactions with PWID that lead to injection initiation range, according to the literature, from family members, inmates within prison settings, "hit doctors" (i.e., individuals who assist others with injection for a fee), sexual partners, drug dealers, and friends [24, 40-44]. Further, evidence suggests that the role of "initiator" is often integrated into other drug scene roles such as providing safer injecting education or assistance with injections [39]. For these reasons, the exposure of injection-naive persons to injection drug use and related behaviors appears to be the most critical risk factor for injection initiation.

Pathways to Initiation by People Who Inject Drugs

Data also suggest that the association between injection exposure and initiation does not appear to follow a single causal pathway. Instead, the research to date suggests that exposure to injecting and initiation may be associated in multiple ways: injection-naive persons may observe injection or hear PWID speak positively about it [19, 24, 37]; PWID may act as sources of injection education [19, 28]; and PWID may also directly assist individuals with injection during their initiation events [39, 45].

Incidence of Initiation of Others by People Who Inject Drugs

While a minority of PWID report ever facilitating injecting initiation events (self-reported prevalence in studies varies from 17 to 47%) [39, 46], those who do may initiate multiple individuals. However, estimates of the number of initiates per initiator (i.e., the level of communicability per individual PWID) vary. For example, recent research in California found that, among a sample of 605 PWID, 204 participants (35%) reported initiating a total of 3271 individuals into injecting during their lifetime; this is equal to a mean of 15.5 initiates per PWID [45]. Meanwhile, among a sample of 324 Australian PWID, 55 reported initiating 128 individuals in the last 5 years, for a mean of 2.3 per PWID [39]. In Toronto, Canada, among a sample of 98 PWID, 27.4% reported initiating others in their lifetime; the median number of people they reported initiating was 2, with 56% reporting having initiated between 2 and 4 people [47].

Implications for Preventing Injection Drug Use Initiation

In epidemiologic parlance, the drug-related, psychosocial, and structural factors listed in "Risk Factors for Injection Initiates" section above appear to be contributory causes of injection initiation. A contributory cause refers to a factor that, if altered, may influence an outcome but is not in and of itself sufficient or necessary to cause the outcome [48]. This is because while research has identified some of the psychosocial and drug-related factors that may increase the risk of injection initiation, many people who experience these factors (e.g., trauma, childhood sexual abuse, street-involvement, homelessness, non-injection heroin, or cocaine use) do not, in fact, ever initiate drug injecting. Indeed, individuals who inject drugs will not necessarily experience all or even some of these behaviors or conditions prior to their initiation of injecting. By contrast, there is a nearconsensus across scientific studies that exposure to injection drug use is a key risk factor for injection initiation (though it appears an insufficient cause in isolation) [19, 21, 24, 40-44].

The growing understanding of these mechanisms has two major implications for the prevention of HIV and viral hepatitis. First, now that we have a relatively comprehensive understanding of the risk factors for injecting initiation, as well as effective comprehensive HIV prevention packages [49], allocating resources towards developing evidence-based interventions to prevent injection initiation is likely necessary to amplify existing efforts to prevent the spread of injection-related HIV and viral hepatitis transmission. Second, interventions to prevent injection initiation are likely to be effective if they engage with PWID to reduce the risk that they expose others to injecting, given the multiple pathways to injecting initiation involving PWID.

Interventions to Prevent Injection Drug Use Initiation

Behavioral Interventions

An increasing number of interventions are therefore seeking to prevent the incidence of injecting initiation by focusing on the role of active PWID in exposing others to injecting. These have, to date, consisted primarily of behavioral interventions. Break the Cycle, for instance, engages PWID in one-on-one education and training sessions involving current drug intervention or outreach workers and aims to increase PWID's awareness of the potential effects of exposure to injecting on the desire to initiate injection among injection-naive drug users, while also equipping them with strategies to refuse initiation requests [10]. Break the Cycle has demonstrated significant reductions in PWID, reporting that they have exposed injection-naive persons to injecting or assisted with a first injection [10]. An adaption of Break the Cycle, named Change the Cycle, was recently developed and evaluated in Canada, with a focus on both injection initiation prevention and safer injection training for active PWID. It was found to significantly reduce participants' exposure or encouragement of injecting to injection-naive persons, as well as the total number of initiations performed by participants [33]. A randomized controlled trial of the Change the Cycle intervention is currently underway in California (Bluthenthal, Kral, and Strike: NIDA R01 DA038965).

Other interventions have also sought to directly intervene with infrequent and non-injectors to delay or prevent transition into habitual injection drug use. For instance, a randomized control trial on injecting prevention tested the impact of a peer-based behavioral intervention among "heroin sniffers" [9]. The intervention, consisting of a four-session group-based social learning program, was found to be significantly associated with a reduced likelihood of subsequent injecting initiation. Importantly, this interventional effect remained significant in a multivariate model adjusting for participants reporting having a close relationship with a PWID [9]. The testing of a combination intervention consisting of the "heroin sniffers" (i.e., delaying habitual injecting) and Break the Cycle (e.g., reducing PWID involvement in injection initiation of others) projects, along with lowthreshold substance use and therapy for injectingdiscordant couples, will also soon be carried out in New York City and Tallinn, Estonia (Des Jarlais; NIDA DP1 DA039542).

Emerging Structural Approaches to Injection Initiation Prevention

Along with the development of behavioral interventions, there is a growing recognition that structural and environmental factors play a role in heightening the risk that individuals initiate injecting. As noted above, prison environments have been identified as sites of injection initiation, as these environments facilitate (often sustained) population mixing between PWID and noninjection drug-using populations [50]. Low availability of stable housing for PWID and injection-naive people who use drugs may result in their shared use of shooting galleries or other drug use venues, thereby increasing the risk of exposure to injecting and, ultimately, initiation of this behavior [13]. Clearly, the level of access that PWID have to public health programming and ancillary services is likely to influence their risk of exposing others to injection, and this topic is the focus of a six-city longitudinal study (Werb: NIDA DP2 DA040256-01); this study, named PRIMER (Preventing Injecting by Modifying Existing Responses), pools data from cohort studies of PWID from Vancouver (Canada), San Diego (USA), Tijuana (Mexico), as well as Marseille, Paris, and Bordeaux (France) and seeks to determine whether housing status, a history of incarceration, enrolment into medication-assisted therapy (MAT), and medically supervised injection facilities (SIF) access impact the risk that PWID initiate others into injecting [21]. Characterizing the influence of these on the risk that PWID expose and initiate injectionnaive individuals can then provide policymakers with critical evidence on how scaling up existing structural interventions known to reduce injection-related risk behaviors may also prevent the incidence of injection initiation [51].

Optimizing Injection Drug Use Prevention Approaches

Addressing the risk that exposure to injecting poses for populations at risk of injection initiation appears broadly consistent with, and complementary to, the current goals of HIV prevention [49]. For example, clients of SIF are generally restricted to people who inject drugs, which may reduce the risk of exposing injection-naive individuals to injecting in the community.

Much of the data collected to date on injecting initiation comes from North American and Western European settings, despite the existence of syndemics of injecting, HIV, and viral hepatitis globally. As such, interventional responses must be adaptable and tailored to local cultural contexts to ensure maximum effectiveness. In Estonia, for example, 35% of a sample of PWID (n = 350) reported sharing syringes/needles, while 86% reported engaging in unprotected sex [52]. Concurrently, there is widespread lack of coverage for basic HIV prevention interventions such as MAT [53]; as a result, in certain regions (i.e., Russian Federation), the elevated incidence of HIV and other blood-borne disease transmission among PWID is likely to continue [2]. The lack of education and experience with the harmful consequences of injecting drugs among at-risk populations in Eastern Europe may have contributed to the expansion of these syndemics, though it is likely that a more stable economic climate and a "community learning" effect may be contributing to a recent increase in the resilience of these populations in avoiding injecting [54]. While studies from the region document some decreases in the proportion of new injectors among PWID populations [54], HIV and HCV prevalence among new injectors remains high [53].

Beyond the need to consider regional differences in the development of interventions to prevent injection initiation, it is also critical to avoid a simplistic formulation of a first injection as an irreversible change in behavior. A first injection does not mean that the person will be irrevocably committed to injecting as his or her dominant route of drug administration for the indefinite future, or that they will even continue to use drugs. By contrast, studies of "former injectors" and persons who injected for varying lengths of time and then "reverse transitioned" back to non-injecting drug use demonstrate the fluidity of injection drug use careers [55]. At present, we do not have an in-depth understanding of the factors associated with reverse transitions, but the data collected to date clearly demonstrate that many people who transitioned from injecting to non-injecting modes of administration avoid relapsing back to injecting for long periods of time or may never begin to inject regularly. As such, interventions that support reverse transitions among persons who continue to use drugs are likely to be highly complementary to efforts to prevent injecting initiation.

Barriers to Effectively Preventing Injection Initiation

While a dedicated effort to preventing injection initiation can meaningfully contribute to global HIV and viral hepatitis prevention, many aspects of such a strategy must be carefully considered. First, an injection initiation prevention strategy should be integrated with the existing suite of comprehensive HIV prevention programs to ensure its effectiveness [49], given the overlap between the behavioral and structural factors that influence injection initiation and those that influence injection-related HIV transmission risk. Second, research on the experiences of individuals who initiate others into injection suggests that while interventions to prevent this phenomenon are likely to be wellreceived by PWID in general, they are unlikely to prevent all incident cases of injection initiation due to the multiple social processes influencing this phenomenon [28, 56]. In light of this limitation, integrating safer injection education components into injection initiation prevention interventions (as was done in the Change the Cycle pilot) may, in the absence of reducing initiation incidence risk to zero, delay or prevent HIV and viral hepatitis transmission among newly initiated PWID, who represent a group at extremely high risk of infection [57]. Third, preventing injection initiation will require a reconsideration of the key populations for HIV prevention. Specifically, while PWID are implicated in injection initiation events, research to date has delineated those populations at greatest risk of initiating; these should be meaningfully considered within broader prevention efforts [20]. Fourth, a global strategy to prevent injection will necessarily require multisite investigations to determine how best to optimize prevention strategies to local dynamics and discrete policy environments, including low-, middle-, and upper-income settings. Fifth, it is imperative that community and public health-oriented platforms be developed to deliver interventions to persons at high risk of injection initiation, considering that existing approaches that provide services for PWID, such as NSPs and MAT, do not generally attract injection-naive people who use drugs. This concern applies also to programs that treat substance use disorders, which necessarily focus on reducing drug use; staff may therefore not be trained in (or may be philosophically opposed to) reducing injecting initiation, particularly if the treatment program is abstinence-focused. Similarly, considerations of peer to peer injection education interventions as potential models of injection prevention may need to be considered [58]. Finally, emerging approaches must not repeat the failures of past prevention efforts, which have unsuccessfully used as a tool to bolster anti-drug social norms [59, 60]. Beyond avoiding a repetition of past ineffectiveness, future interventions must also ensure that they do not inadvertently increase the stigma around drug injecting while seeking to prevent this behavior. While obvious tensions exist between these two goals, they can be balanced by ensuring that prevention efforts recognize that the vast majority of PWID report an unwillingness to initiate others but nevertheless find themselves unable to avoid doing so as a result of constraining socioeconomic contexts [21, 41, 61].

Conclusions

The scientific understanding of injection initiation has greatly evolved over the past decade and has been accompanied by a renewed focus on its prevention by HIV scientists and funding bodies alike [62, 63]. We can therefore state with some confidence that the prioritization of the prevention of injection initiation, as called for by experts over a decade ago [1], has edged closer to reality. However, important issues remain, largely related to the low levels of access that drug-using populations currently have to a range of HIV prevention interventions including opioid substitution therapy, medically supervised injection facilities, and needle and syringe programs [64], as well as the lack of development, evaluation, and scale-up of interventions to prevent injection initiation [18]. A prevention strategy that does not unintentionally undermine the twin goals of harm reduction and prevention of injection initiation is therefore needed.

With an emerging body of evidence on the factors that influence injection initiation, which include the multiple individual-level and structural-level approaches that have been developed and are being investigated, the suite of options available to public health experts and policymakers to prevent this phenomenon is becoming clearer. We therefore call on the scientific community to engage in this next "upstream" phase of HIV prevention as part of the global effort to control the unacceptably high risk of morbidity and mortality posed by injection drug use at a time of great worldwide advances in HIV and viral hepatitis prevention [65].

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